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Claim(s)

Abstract

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APPLICANTS

Avecia Limited

TITLE

CATALYST AND PROCESS

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CATALYST AND PROCESS

This invention relates to a catalyst, to a method of preparing a catalyst and in particular to a method of preparing a microencapsulated catalyst.

WO03/006151 describes an encapsulated catalyst system and methods for the production of these encapsulated catalysts. One particular system described in WO03/006151 concerns palladium based encapsulated catalysts which find use in coupling reactions. These palladium based encapsulated catalysts are most often derived by micro-encapsulation of palladium acetate. It has recently been found that by carrying out the micro-encapsulation of the metal catalyst in the presence of a ligand that metal catalysts losses during the encapsulation process may be ameliorated.

According to a first aspect of the present invention there is provided a process for the preparation of a microencapsulated catalyst-ligand system which comprises forming a microcapsule shell by interfacial polymerisation in the presence of a catalyst and a ligand.

It is preferred that the catalyst is an inorganic catalyst and in particular a transition metal catalyst. The term transition metal catalyst as used herein includes (a) the transition metal itself, normally in finely divided or colloidal form, (b) a complex of a transition metal or (c) a compound containing a transition metal. If desired a pre-cursor for the catalyst may be microencapsulated within the polymer microcapsule shell and subsequently converted to the catalyst, for example by heating. The term catalyst thus also includes a catalyst pre-cursor.

Preferred transition metals on which the catalysts for use in the present invention may be based include platinum, palladium, osmium, ruthenium, rhodium, iridium, rhenium, scandium, cerium, samarium, yttrium, ytterbium, lutetium, cobalt, titanium, chromium, copper, iron, nickel, manganese, tin, mercury, silver, gold, zinc, vanadium, tungsten and molybdenum. Highly preferred transition metals on which the catalysts for use in the present invention may be based include osmium, ruthenium, rhodium, titanium, vanadium and chromium, and especially palladium. Air sensitive catalysts may be handled using conventional techniques to exclude air.

Palladium in a variety of forms may be microencapsulated according to the present invention and is useful as a catalyst for a wide range of reactions.

Preferably palladium is used directly in the form of an organic solvent soluble form and is most preferably palladium acetate. Thus for example palladium acetate may be suspended or more preferably dissolved in a suitable solvent such as a hydrocarbon solvent or a chlorinated hydrocarbon solvent and the resultant solution may be microencapsulated according to the present invention. Chloroform is a preferred solvent for use in the microencapsulation of palladium acetate.

According to literature sources palladium acetate decomposes to the metal under the action of heat. Catalysts of the present invention derived from palladium acetate have

proved to be effective, although it is not presently known whether palladium is present in the form of the metal or remains as palladium acetate.

It is preferred that the ligand is an organic ligand. Organic ligands typically include organic moieties which comprise at least one functional group or hetroatom which can coordinate to the metal atoms of the catalyst. Organic ligands include mono-functional, bifunctional and multi-function ligands. Mono-fuctional ligands comprise only one functional group or hetroatom which can coordinate to a metal. Bi-functional ligands or multi-function ligands comprise more than one functional group or hetroatom which can coordinate to a metal.

Preferably, the organic ligand is soluble in organic solvents.

Preferably, the organic ligand is an organic moiety comprising one or more hetroatoms selected from N, O, P and S.

More preferably, the organic ligand is an organic moiety comprising one or more P atoms.

Highly preferred are organic ligands of formula (1):

PR¹R²R³

(1)

wherein:

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R¹, R² and R³ are each independently an optionally substituted hydrocarbyl group, an optionally substituted hydrocarbyloxy group, or an optionally substituted hetrocyclyl group or one or more of R¹ & R², R¹ & R³, R² & R³ optionally being linked in such a way as to form an optionally substituted ring(s).

Hydrocarbyl groups which may be represented by R¹⁻³ independently include alkyl, alkenyl and aryl groups, and any combination thereof, such as aralkyl and alkaryl, for example benzyl groups.

Alkyl groups which may be represented by R¹⁻³ include linear and branched alkyl groups comprising up to 20 carbon atoms, particularly from 1 to 7 carbon atoms and preferably from 1 to 5 carbon atoms. When the alkyl groups are branched, the groups often comprising up to 10 branch chain carbon atoms, preferably up to 4 branch chain atoms. In certain embodiments, the alkyl group may be cyclic, commonly comprising from 3 to 10 carbon atoms in the largest ring and optionally featuring one or more bridging rings. Examples of alkyl groups which may be represented by R¹⁻³ include methyl, ethyl, propyl, 2-propyl, butyl, 2-butyl, t-butyl and cyclohexyl groups.

Alkenyl groups which may be represented by R^{1-3} include C_{2-20} , and preferably C_{2-6} alkenyl groups. One or more carbon – carbon double bonds may be present. The alkenyl group may carry one or more substituents, particularly phenyl substituents. Examples of alkenyl groups include vinyl, styryl and indenyl groups.

Aryl groups which may be represented by R¹⁻³ may contain 1 ring or 2 or more fused rings which may include cycloalkyl, aryl or heterocyclic rings. Examples of aryl

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groups which may be represented by R¹⁻³ include phenyl, tolyl, fluorophenyl, chlorophenyl, bromophenyl, trifluoromethylphenyl, anisyl, naphthyl and ferrocenyl groups.

Heterocyclic groups which may be represented by R¹-³ independently include aromatic, saturated and partially unsaturated ring systems and may constitute 1 ring or 2 or more fused rings which may include cycloalkyl, aryl or heterocyclic rings. The heterocyclic group will contain at least one heterocyclic ring, the largest of which will commonly comprise from 3 to 7 ring atoms in which at least one atom is carbon and at least one atom is any of N, O, S or P. Examples of heterocyclic groups which may be represented by R¹-³ include pyridyl, pyrimidyl, pyrrolyl, thiophenyl, furanyl, indolyl, quinolyl, isoquinolyl, imidazoyl and triazoyl groups.

When any of R¹⁻³ is a substituted hydrocarbyl or heterocyclic group, the substituent(s) should be selected such so as not to adversely affect the activity of the catalyst. Optional substituents include halogen, cyano, nitro, hydroxy, amino, thiol, acyl, hydrocarbyl, perhalogentated hydrocarbyl, heterocyclyl, hydrocarbyloxy, mono or dihydrocarbylamino, hydrocarbylthio, esters, carboxylate, carbonates, amides, sulphonate, sulphonyl and sulphonamido groups wherein the hydrocarbyl groups are as defined for R¹ above. One or more substituents may be present, and includes when any of R¹, R² or R³ is a perhalogenated hydrocarbyl group. Examples of perhalogenated alkyl groups which may be represented by R¹⁻³ include -CF₃ and -C₂F₅.

When any of R¹ & R², R¹ & R³, R² & R³ are linked in such a way that when taken together with the phosphorus atom to which they are attached that a ring is formed, it is preferred that these rings be 5, 6 or 7 membered rings.

Examples of phosphorus based ligands of formula (1) include PMe_2CF_3 , $P(OEt)_3$, $P(Et)_3$, $P(Bu)_3$, $P(cyclohexyl)_3$, $Phet_2$, Ph_2Me , Ph_3 , $P(CH_2Ph)_3$, $P(CH_2Ph)Ph_2$, $P(p-tolyl)_3$, $P(o-C_6H_4OMe)_3$, $P(o-tolyl)_3$,

where Cy = cyclohexyl.

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Preferably organic ligands are selected so as not to adversely effect the properties of the catalyst. More preferably organic ligands are selected to enhance catalytic activity. For example, cross couplings traditionally employ phosphines, and the more electron rich the ligand is, the better the activity usually is. However, electron rich ligands tend to show increased air sensitivity. A good compromise, balancing increased activity and increased air sensitivity is either to incorporate three bulky alkyl groups, for example as in tri(tert-butyl)phoshine (2), or to position an additional donor grouping within proximity of the triaryl phoshine moiety, for example as in alaphos (3), or a combination of these approaches, for example as in (4).

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The ligand may also be selected on the basis of the reactions the catalyst is adapted to enhance. For example, Ligand (2) may be suited where the catalyst is for use in Stille, Suzuki, Sonogashira and Negishi reactions. Ligand (3) may be suited where the catalyst is for use in the coupling of alkynyl-Grignard reagents. Ligand (4) may be suited where the catalyst is for use in Suzuki coupling and C-N bond forming reactions. Trialkylphosphites may be suited where the catalyst is for use in Suzuki couplings of aromatic chlorides and bromides. In recent years air stable and water tolerant heterocyclic carbenes such as imidazolium salt (5) have been developed as a phosphorous free ligand class and may be suited where the catalyst is for use in in the coupling of Grignard reagents, alkyltrimethoxy silanes, organostannanes, and organoboranes. Another phoshorus free ligand class that may be suited where the catalyst is for use in Suzuki couplings is the 2,3-diaza-1-3-butadienes.

A recent summary in which cross coupling reactions are discussed, Metal-Catalysed Cross Coupling reactions; Diedrich, F.; Stang, P.J., Eds.; Wiley-VCH: Weinheim, 1998, provides a useful a guide to the selection of ligands to enhance catalytic activity, which is herein incorporated by reference.

It is understood that one or more ligands and/or one or more catalysts may be employed in the process of the present invention. Where multiple ligands and/or multiple catalysts are employed, each independently may be selected for the ability to enhance or catalyse the same or similar reaction types, or for the ability to enhance or catalyse different reaction types.

There are various types of interfacial polymerisation techniques but all involve reaction at the interface of a dispersed phase and a continuous phase in an emulsion system. Typically the dispersed phase is an oil phase and the continuous phase is an aqueous phase but interfacial polymerisation reactions at the interface of a continuous oil phase and a dispersed aqueous phase are also possible. Thus for example an oil or organic phase is dispersed into a continuous aqueous phase comprising water and a surface-active agent. The organic phase is dispersed as discrete droplets throughout the aqueous phase by means of emulsification, with an interface between the discrete organic phase droplets and the surrounding continuous aqueous phase solution being formed.

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Polymerisation at this interface forms the microcapsule shell surrounding the dispersed phase droplets.

In one type of interfacial condensation polymerisation microencapsulation process, monomers contained in the oil and aqueous phase respectively are brought together at the oil/water interface where they react by condensation to form the microcapsule wall. In another type of polymerisation reaction, the *in situ* interfacial condensation polymerisation reaction, all of the wall-forming monomers are contained in the oil phase. *In situ* condensation of the wall-forming materials and curing of the polymers at the organic-aqueous phase interface may be initiated by heating the emulsion to a temperature of between about 20°C to about 100°C and optionally adjusting the pH. The heating occurs for a sufficient period of time to allow substantial completion of *in situ* condensation of the prepolymers to convert the organic droplets to capsules consisting of solid permeable polymer shells entrapping the organic core materials.

One type of microcapsule prepared by *in situ* condensation and known in the art is exemplified in U.S. patents 4,956,129 and 5,332,584. These microcapsules, commonly termed "aminoplast" microcapsules, are prepared by the self-condensation and/or cross-linking of etherified urea-formaldehyde resins or prepolymers in which from about 50 to about 98% of the methylol groups have been etherified with a C₄-C₁₀ alcohol (preferably neutron). The prepolymer is added to or included in the organic phase of an oil/water emulsion. Self-condensation of the prepolymer takes place optionally under the action of heat at low pH. To form the microcapsules, the temperature of the two-phase emulsion is raised to a value of from about 20°C to about 90°C, preferably from about 40°C to about 90°C, most preferably from about 40°C to about 60°C. Depending on the system, the pH value may be adjusted to an appropriate level. For the purpose of this invention a pH of about 1.5 to 3 is appropriate:

R is preferably Butyl

As described in U.S. Pat. No. 4,285,720 the prepolymers most suitable for use in this invention are partially etherified urea-formadehyde prepolymers with a high degree of solubility in organic phase and a low solubility in water. Etherified urea-formaldehyde

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Marie San Carlo

prepolymers are commercially available in alcohol or in a mixture of alcohol and xylene. Examples of preferred commercially available prepolymers include the Beetle etherified urea resins manufactured by BIP (e.g. BE607, BE610, BE660, BE676) or the Dynomin N-butylated urea resins from Dyno Cyanamid (e.g. Dynomin UB-24-BX, UB-90-BX etc.).

Acid polymerisation catalysts capable of enhancing the microcapsule formation can be placed in either the aqueous or the organic phase. Acid polymerisation catalysts are generally used when the core material is too hydrophobic, since they serve to attract protons towards the organic phase. Any water soluble acid polymerisation catalysts which has a high affinity for the organic phase can be used. Carboxylic and sulphonic acids are particularly useful.

One further type of microcapsule prepared by *in situ* condensation and found in the art, as exemplified in U.S. Patent No. 4,285,720 is a polyurea microcapsule which involves the use of at least one polyisocyanate such as polymethylene polyphenyleneisocyanate (PMPPI) and/or tolylene diisocyanate (TDI) as the wall-forming material. In the creation of polyurea microcapsules, the wall-forming reaction is generally initiated by heating the emulsion to an elevated temperature at which point a proportion of the isocyanate groups are hydrolyzed at the interface to form amines, which in turn react with unhydrolyzed isocyanate groups to form the polyurea microcapsule wall. During the hydrolysis of the isocyanate monomer, carbon dioxide is liberated. The addition of no other reactant is required once the dispersion establishing droplets of the organic phase within a continuous liquid phase, i.e., aqueous phase, has been accomplished. Thereafter, and preferably with moderate agitation of the dispersion, the formation of the polyurea microcapsule can be brought about by heating the continuous liquid phase or by introducing a polymerisation catalyst such as an alkyl tin or a tertiary amine capable of increasing the rate of isocyanate hydrolysis.

The amount of the organic phase may vary from about 1% to about 75% by volume of the aqueous phase present in the reaction vessel. The preferred amount of organic phase is about 10 percent to about 50 percent by volume. polyisocyanates used in this process includes both aromatic and aliphatic mono and poly Examples of suitable aromatic diisocyantes and other functional isocyanates. polyisocyantes include the following: 1-chloro-2,4-phenylene diisocyante, m-phenylene diisocyante (and its hydrogenated derivative), p-phenylene diisocyante (and its hydrogenated derivative). 4,4'-methylenebis (phenyl isocyanate). 2,4-tolylene diisocyanate, tolylene diisocyanate (60% 2,4-isomer, 40% 2,6-isomer), 2,6-tolylene diisocyante, 3,3'-dimethyl-4,4'-biphenylene diisocyante, 4,4'-methylenebis (2-methylphenyl isocyanate), 3,3'-dimethoxy-4,4'-biphenylene diisocyanate, 2,2',5,5'-tetramethyl-4,4'biphenylene diisocyanate, 80% 2,4- and 20% 2,6-isomer of tolylene diisocyanate, polymethylene polyphenylisocyante (PMPPI), 1,6-hexamethylene diisocvanate. diisocyanate. tetramethylxylene diisocyanate 1.5-naphthylene

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diisocyanate, hydrophilic aliphatic polyisocyanates based on hexamethylene diisocyanate (e.g. Bayhydur 3100, Bayhydur VP LS2319 and Bayhydur VP LS2336) and hydrophilic aliphatic polyisocyanates based on isophorone diisocyanate (e.g. Bayhydur VP LS2150/1)

It may be desirable to use combinations of the above mentioned polyisocyantes. Preferred polyisocyantes are polymethylene polyphenylisocyante (PMPPI) and mixtures of polymethylene polyphenylisocyante (PMPPI) with tolylene diisocyanate or other difunctional aromatic or aliphatic isocyantes

One further class of polymer precursors consists of a primarily oil-soluble component and a primarily water-soluble component which react together to undergo interfacial polymerisation at a water/oil interface. Typical of such precursors are an oilsoluble isocyanate such as those listed above and a water-soluble poly amine such as ethylenediamine and/or diethylenetriamine to ensure that chain extension and/or cross-Cross-linking variation may be achieved by increasing the linking takes place. functionality of the amine. Thus for example, cross-linking is increased if ethylenediamine is replaced by a polyfunctional amine such as DETA (Diethylene triamine), TEPA (Tetraethylene pentamine) and other well established cross linking amines. Isocyanate functionality can be altered (and thus cross-linking also altered) by moving from monomeric isocyanates such as toluene diisocyanate to PMPPI. Mixtures of isocyanates, for example mixtures of tolylene diisocyanate and PMPPI, may also be used. Moreover, the chemistry may be varied from aromatic isocyanates to aliphatic isocyanates such as hexamethylenediisocyanate and isophorone diisocyanate. Further modifications can be achieved by partially reacting the (poly) isocyanate with a polyol to produce an amount of a polyurethane within the isocyanate chemistry to induce different properties to the wall For example, suitable polyols could include simple low molecular weight aliphatic di, tri or tetraols or polymeric polyols. The polymeric polyols may be members of any class of polymeric polyols, for example: polyether, polyTHF, polycarbonates, polyesters and polyesteramides. One skilled in the art will be aware of many other chemistries available for the production of a polymeric wall about an emulsion droplet. As well as the established isocyanate/amine reaction to produce a polyurea wall chemistry, there can be employed improvements to this technology including for example that in which hydrolysis of the isocyanate is allowed to occur to an amine which can then further react internally to produce the polyurea chemistry (as described for example in USP 4285720). Variation in the degree of cross linking may be achieved by altering the ratio of monomeric isocyanate to polymeric isocyanate. As with the conventional isocyanate technology described above, any alternative isocyanates can be employed in this embodiment.

One skilled in the art will be aware that the various methods previously described to produce polyurea microcaps typically leave unreacted amine (normally aromatic amine) groups attached to the polymer matrix. In some cases it may be advantageous to convert

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such amine groups to a substantially inert functionality. Preferred are methods for the conversion of such amine groups to urea, amide or urethane groups by post reaction of the microcapsules in an organic solvent with a monoisocyanate, acid chloride or chloroformate respectively.

U.S. Patent No. 6,020,066 (assigned to Bayer AG) discloses another process for forming microcapsules having walls of polyureas and polyiminoureas, wherein the walls are characterized in that they consist of reaction products of crosslinking agents containing NH₂ groups with isocyanates. The crosslinking agents necessary for wall formation include di- or polyamines, diols, polyols, polyfunctional amino alcohols, guanidine, guanidine salts, and compounds derived there from. These agents are capable of reacting with the isocyanate groups at the phase interface in order to form the wall.

The preferred materials for the microcapsule are a polyurea, formed as described in U.S. Pat. No. 4,285,720, or a urea-formaldehyde polymer as described in U.S. Pat. No. 4,956,129. Polyurea is preferred because the microcapsule is formed under very mild conditions and does not require acidic pH to promote polymerisation and so is suitable for use when encapsulating acid-sensitive catalysts. The most preferred polymer type for the microcapsule is polyurea as described in U.S. Pat. No. 4,285,720 based on the PMPPI polyisocyanate either alone or in combination with other aromatic di or multi functional isocyantes.

Microencapsulation techniques described above most commonly involve the microencapsulation of an oil phase dispersed within an aqueous continuous phase, and for such systems the catalyst is suitably capable of being suspended within the microencapsulated oil phase or more preferably is soluble in a water-immiscible organic solvent suitable for use as the dispersed phase in microencapsulation techniques. The scope of the present invention is not however restricted to the use of oil-in-water microencapsulation systems and water-soluble catalysts may be encapsulated via interfacial microencapsulation of water-in-oil emulsion systems. Water-soluble catalysts may also be encapsulated via interfacial microencapsulation of water-in-oil-in-water emulsion systems.

The ligand is most preferably encapsulated along with the metal catalyst as a component of the organic phase.

Preferably the ligand, metal catalyst, solvent and wall forming material are dispersed as a single organic phase into the continuous aqueous phase. However, if any of the components are incompatible then it may be advantageous to disperse all the components separately or in combinations wherein the continuous phase conditions are such that polymerisation is delayed until the separate organic components have mixed through diffusion and particle coallescenece and division. For example, the ligand can be dissolved in an organic solvent and then dispersed into the aqueous phase either

simultaneously with the other organic components or at some stage after dispersion of the organic solution of the metal catalyst and wall forming material.

Most preferably, the organic soluble ligand is dissolved along with the metal catalyst and the polymerisable wall forming reactants and then all dispersed as a single solution into the continuous aqueous phase.

The molar ratio of ligand to metal catalyst is in the range from 1/100 to 100/1 and more preferably in the range 1/20 to 20/1 and most preferably in the range 1/10 to 10/1.

Preferred ligands are soluble in organic solvents and not sensitive to water and do not interfere or become covalently bound into the polymer matrix.

Most preferred ligands are phosphorus based ligands of formula (1) include $P(\text{cyclohexyl})_3$, PPh_3 , $P(\text{CH}_2\text{Ph})_3$, $P(\text{CH}_2\text{Ph})\text{Ph}_2$, $P(p\text{-tolyl})_3$, $P(o\text{-C}_6\text{H}_4\text{OMe})_3$, $P(O\text{-Ph})_3$, $P(p\text{-tolyl})_3$, $P(p\text{-C}_6\text{H}_4\text{OMe})_3$, $P(p\text{-tolyl})_3$, P(p-tolyl

Preferably, the continuous phase is water. The amount of organic phase dispersed into the aqueous phase may vary from 1% to about 75% by volume of the aqueous phase present in the reactor. Preferably the amount of organic phase is about 10% to about 50% by volume.

The weight % wall forming material in the organic phase (which includes ligand, metal catalyst and solvent) is in the range 5 to 95%, more preferably 10 to 70% and most preferably 10 to 50%.

The weight % of solvent in the organic phase (which includes ligand, catalyst, wall forming material) is in the range 5 to 95%, more preferably 15 to 90% and most preferably 40 to 80%.

The loading level of the microencapsulated catalyst can be varied. Microencapsulated catalysts with loadings 0.01mmol/g to 0.8mmol/g are typical, especially where the loading is based on metal content. Loadings of 0.2mmol/g to 0.6mmol/g are preferred.

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The microencapsulation of the catalyst and ligand takes place according to techniques well known in the art. Typically the catalyst is dissolved or dispersed in an oil phase which is emulsified into a continuous aqueous phase to form an emulsion which is generally stabilised by a suitable surfactant system. A wide variety of surfactants suitable for forming and stabilising such emulsions are commercially available and may be used either as the sole surfactant or in combination. The emulsion may be formed by conventional low or high-shear mixers or homogenisation systems, depending on particle size requirements. A wide range of continuous mixing techniques can also be utilised. Suitable mixers which may be employed in particular include dynamic mixers whose mixing elements contain movable parts and static mixers which utilise mixing elements without moving parts in the interior. Combinations of mixers (typically in series) may be advantageous. Examples of the types of mixer which may be employed are discussed in US patent 627132 which is herein incorporated by reference. Alternatively, emulsions may be formed by membrane emulsification methods. Examples of membrane emulsification methods are reviewed in Journal of Membrane Science 169 (2000) 107-117 which is herein incorporated by reference.

Typical examples of suitable surfactants include:

- a) condensates of alkyl (eg octyl, nonyl or polyaryl) phenols with ethylene oxide and optionally propylene oxide and anionic derivatives thereof such as the corresponding ether sulphates, ether carboxylates and phosphate esters; block copolymers of polyethylene oxide and polypropylene oxide such as the series of surfactants commercially available under the trademark PLURONIC (PLURONIC is a trademark of BASF);
- b) TWEEN surfactants, a series of emulsifiers comprising a range of sorbitan esters condensed with various molar proportions of ethylene oxide;
- c) condensates of C₈ to C₃₀ alkanols with from 2 to 80 molar proportions of ethylene oxide and optionally propylene oxide; and
- d) polyvinyl alcohols, including the carboxylated and sulphonated products.

Furthermore, WO 01/94001 teaches that one or more wall modifying compounds (termed surface modifying agents) can, by virtue of reaction with the wall forming materials, be incorporated into the microcapsule wall to create a modified microcapsule surface with built in surfactant and/or colloid stabiliser properties. Use of such modifying compounds may enable the organic phase wall forming material to be more readily dispersed into the aqueous phase possibly without the use of additional colloid stabilisers or surfactants and/or with reduced agitation. The teaching of WO01/94001 is herein incorporated by reference. Examples of wall modifying compounds which may find particular use in the present invention include anionic groups such as sulphonate or carboxylate, non-ionic groups such as polyethylene oxide or cationic groups such as quaternary ammonium salts.

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In addition the aqueous phase may contain other additives which may act as aids to the process of dispersion or the reaction process. For example, de-foamers may be added to lesson foam build up, especially foaming due to gas evolution.

A wide variety of materials suitable for use as the oil phase will occur to one skilled in the art. Examples include, diesel oil, isoparaffin, aromatic solvents, particularly alkyl substituted benzenes such as xylene or propyl benzene fractions, and mixed napthalene and alkyl napthalene fractions; mineral oils, white oil, castor oil, sunflower oil, kerosene, dialkyl amides of fatty acids, particularly the dimethyl amides of fatty acids such as caprylic acid; chlorinated aliphatic and aromatic hydrocarbons such as 1,1,1-trichloroethane and chlorobenzene, esters of glycol derivatives, such as the acetate of the n-butyl, ethyl, or methyl ether of diethylene glycol, the acetate of the methyl ether of dipropylene glycol, ketones such as isophorone and trimethylcyclohexanone (dihydroisophorone) and the acetate products such as hexyl, or heptyl acetate. Organic liquids conventionally preferred for use in microencapsulation processes are xylene, diesel oil, isoparaffins and alkyl substituted benzenes, although some variation in the solvent may be desirable to achieve sufficient solubility of the catalyst in the oil phase.

Certain catalysts may catalyse the wall-forming reaction during interfacial polymerisation. In general it is possible to modify the microencapsulation conditions to take account of this. Some interaction, complexing or bonding between the catalyst and the polymer shell may be positively desirable since it may prevent agglomeration of finely divided or colloidal catalysts.

In some instances, the catalyst being encapsulated may increase the rate of the interfacial polymerisation reactions. In such cases it may be advantageous to cool one or both of the organic and continuous aqueous phases such that interfacial polymerisation is largely prevented whilst the organic phase is being dispersed. The reaction is then initiated by warming in a controlled manner once the required organic droplet size has been achieved. For example, in certain reactions the aqueous phase may be cooled to less than 10°C, typically to between 5°C to 10°C, prior to addition of the oil phase and then when the organic phase is dispersed the aqueous phase may be heated to raise the temperature above 15°C to initiate polymerisation.

It is preferred that microencapsulation of the oil phase droplets containing the catalyst and the ligand takes place by an interfacial polymerisation reaction as described above under an inert atmosphere. The aqueous dispersion of microcapsules containing the catalyst and ligand may be used to catalyse a suitable reaction without further treatment. Preferably however the microcapsules containing the catalyst and the ligand are removed from the aqueous phase by filtration. It is especially preferred that the recovered microcapsules are washed with water to remove any remaining surfactant system and with a solvent capable of extracting the organic phase contained within the microcapsule. Relatively volatile solvents such as halogenated hydrocarbon solvents for

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example chloroform are generally more readily removed by washing or under reduced pressure than are conventional microencapsulation solvents such as alky substituted benzenes. If the majority of the solvent is removed, the resultant microcapsule may in effect be a substantially solvent-free polymer bead containing the catalyst efficiently dispersed within the microcapsule polymer shell. The process of extracting the organic phase may cause the microcapsule walls to collapse inward, although the generally spherical shape will be retained. If desired the dry microcapsules may be screened to remove fines, for example particles having a diameter less than about 20 microns.

In the case of the microencapsulated palladium acetate microparticles it is preferred that the recovered water wet microcapsules are washed with copious quantities of deionised water, followed by ethanol washes and finally hexane washes. The microcapsules are then dried in a vac oven at 50°C for approx 4 hours to give a product with greater than 95% non volatile content (by exhaustive drying) and preferably greater than 98% non volatile content..

Thus according to a second aspect of the present invention there is provided a process for the preparation of a microencapsulated catalyst-ligand system which comprises

- (a) dissolving or dispersing the catalyst and ligand in a first phase,
- (b) dispersing the first phase in a second, continuous phase to form an emulsion.
- (c) reacting one or more microcapsule wall-forming materials at the interface between the dispersed first phase and the continuous second phase to form a microcapsule polymer shell encapsulating the dispersed first phase core and optionally
- (d) recovering the microcapsules from the continuous phase.

Preferably the first phase is an organic phase and the second, continuous phase is an aqueous phase. Suitably a protective colloid (surfactant) is used to stabilise the emulsion.

If desired the recovered microcapsules may be washed with a suitable solvent to extract the first phase, and in particular the organic phase solvent from the core and any loosely bound metal catalyst or ligand. A suitable solvent, usually water, may also be used to remove the protective colloid or surfactant.

The microcapsule wall-forming material may for example be a monomer, oligomer or pre-polymer and the polymerisation may take place in situ by polymerisation and/or curing of the wall-forming material at the interface. In the alternative polymerisation may take place at the interface by the bringing together of a first wall-forming material added through the continuous phase and a second wall-forming material in the discontinuous phase.

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It has been found that the microencapsulated catalyst-ligand system obtainable by the processes of the first and second aspects of the present invention are resistant to both catalyst and ligand leaching and also show enhancement of activity.

According to a third aspect of the present invention there is provided a microencapsulated catalyst-ligand system obtainable by a process comprising forming a microcapsule shell by interfacial polymerisation in the presence of a catalyst and a ligand.

Preferred catalysts, ligands and interfacial polymerisation methods and techniques are as stated above in connection with the first and second aspects of the present invention.

According to a further aspect of the present invention there is provided a microencapsulated catalyst-ligand system comprising a catalyst and a ligand microencapsulated within a permeable polymer microcapsule shell wherein the microcapsule shell is formed by interfacial polymerisation.

According to a further aspect of the present invention there is provided a microencapsulated catalyst-ligand system comprising a catalyst and a ligand microencapsulated within a permeable polymer microcapsule shell.

Preferred microencapsulated catalyst-ligand systems, catalysts, ligands and microencapsulation methods, including interfacial polymerisation, are as stated above in connection with the first, second and third aspects of the present invention.

Depending on the conditions of preparation and in particular the degree of interaction between the catalyst, the ligand and the wall-forming materials, ithe microencapsulated catalyst-ligand system of the present invention may be regarded at one extreme as a 'reservoir' in which the finely divided catalyst and ligand (either as solid or in the presence of residual solvent) is contained within an inner cavity bound by an integral outer polymer shell or at the other extreme as a solid, amorphous polymeric bead throughout which the finely divided catalyst and ligand is distributed. In practice the position is likely to be between the two extremes. Regardless of the physical form of the encapsulated catalyst-ligand of the present invention and regardless of the exact mechanism by which access of reactants to the catalyst takes place (diffusion through a permeable polymer shell or absorption into a porous polymeric bead), we have found that encapsulated catalysts and ligands of the present invention permit effective access of the reactants to the catalyst whilst presenting the catalyst and ligand in a form in which it can be recovered and if desired re-used. Furthermore, since in the preferred embodiment of the present invention the polymer shell/bead is formed in situ by controlled interfacial polymerisation (as opposed to uncontrolled deposition from an organic solution of the polymer), the microencapsulated catalyst-ligand system of the present invention may be used in a wide range of organic solvent-based reactions.

The microcapsules of this invention are regarded as being insoluble in most common organic solvents by virtue of the fact that they are highly crosslinked. As a

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consequence, the microcapsules can be used in a wide range of organic solvent based reactions.

The microcapsules containing the catalyst and ligand may be added to the reaction system to be catalysed and, following completion of the reaction, may be recovered for example by filtration. The recovered microcapsules may be returned to catalyse a further reaction and re-cycled as desired. Alternatively, the microcapsules containing the catalyst and ligand may be used as a stationary catalyst in a continuous reaction. For instance, the microcapsule particles could be immobilised with a porous support matrix (e.g. membrane). The microcapsule is permeable to the extent that catalysis may take place either by diffusion of the reaction medium through the polymer shell walls or by absorption of the reaction medium through the pore structure of the microcapsule.

In some circumstances, particularly where the ligand is highly reactive or may interfere with the interfacial polymerisation process, it may be advantageous to introduce the ligand after the polymerisation.

According to a fourth aspect of the present invention there is provided a microencapsulated catalyst-ligand system obtainable by a process comprising forming a microcapsule shell by interfacial polymerisation in the presence of a catalyst and treating the microcapsule shell with a ligand.

Optionally the microencapsulated catalyst may be isolated before subsequent treatment with the ligand.

Treatment with the ligand may optionally be carried with or without the need to swell the permeable polymer microcapsule shell.

Thus according to a further aspect of the present invention there is provided a process for the preparation of a microencapsulated catalyst-ligand system which comprises

- (a) dissolving or dispersing the catalyst in a first phase,
- (b) dispersing the first phase in a second, continuous phase to form an emulsion.
- (c) reacting one or more microcapsule wall-forming materials at the interface between the dispersed first phase and the continuous second phase to form a microcapsule polymer shell encapsulating the dispersed first phase core; and
- (d) treating the microcapsules with a ligand.

Optionally the microcapsules may be recovered from the continuous phase in step (c) before treating with the ligand in step (d).

Preferably, the ligand treated microcapsules are isolated and washed with solvent.

In some circumstances, particularly where the metal catalyst is highly reactive or may interfere with the interfacial polymerisation process, it may be advantageous to introduce the metal catalyst after the polymerisation.

According to a fifth aspect of the present invention there is provided a microencapsulated catalyst-ligand system obtainable by a process comprising forming a microcapsule shell by interfacial polymerisation in the presence of a ligand and treating the microcapsule shell with a catalyst solution.

Optionally the microencapsulated ligand may be isolated before subsequent treatment with the catalyst.

Treatment with the metal catalyst may optionally be carried with or without the need to swell the permeable polymer microcapsule shell.

Thus according to a further aspect of the present invention there is provided a process for the preparation of a microencapsulated catalyst-ligand system which comprises

- (a) dissolving or dispersing the ligand in a first phase,
- (b) dispersing the first phase in a second, continuous phase to form an emulsion,
- (c) reacting one or more microcapsule wall-forming materials at the interface between the dispersed first phase and the continuous second phase to form a microcapsule polymer shell encapsulating the dispersed first phase core; and
- (d) treating the microcapsules with a solution of a catalyst.

Optionally the microcapsules may be recovered from the continuous phase in step (c) before treating with the catalyst in step (d).

Preferably, the catalyst treated microcapsules are isolated and washed with solvent.

Preferred are Catalysts wherein the ligand is first encapsulated as a component of the organic phase and then the metal catalyst post adsorbed into the encapsulated ligand by exposing the entrapped ligand to a solution of the metal catalyst.

More preferred are Catalysts wherein the ligand is post adsorbed into the microencapsulated metal catalyst by exposing the entrapped metal to an organic solution of the ligand.

Most preferred are catalysts wherein the ligand is encapsulated along with the metal catalyst as a component of the organic phase.

The invention is illustrated by the following examples. The use of the catalysts of the invention for catalysis of typical reactions is illustrated but the invention is not limited to the use of the catalysts for any specific reaction. In the following Examples GOSHENOL is polyvinyl alcohol, SOLVESSO 200 is just a high boiling (230- 257°C) mixture of aromatics (mainly naphthalenes), TERGITOL XD is the polyoxypropylene polyoxyethylene ether of butyl alcohol, REAX 100M is sodium lignosulfonate. REAX, TERGITOL and GOSHENOL are added as colloid stabilisers and detergents.

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<u>Preparation of Comparative Catalyst Example 1 - Microencapsulated Palladium Acetate</u> with 40% Wall Content

Pd(OAc)₂ (2.95g, 98%) was dissolved in chloroform (25.7g) and the solution stirred for 30 minutes. To this mixture was added polymethylene polyphenylene di-isocyanate (PMPPI) (19.11g) and the contents stirred for a further 60 minutes. The mixture was then added to an aqueous mixture containing 40% REAX 100 M solution (3.82g), 20% TERGITOL XD solution (0.96g) and 25% Poly Vinyl Alcohol (PVOH) solution (1.91g) in deionised water (80 ml) while shearing (using a FISHER 4-blade retreat curve stirrer) at 500 rpm for 8 minutes. After 8 minutes the shear rate was reduced to 250 rpm and at the onset of polymerisation (detected by carbon dioxide evolution) 3 drops of de-foamer (Drewplus S-4382, supplied by Ashland) were added and the suspension thus obtained was stirred at room temperature for an additional 24 hours. The microcapsules were filtered through a polyethylene frit (20 micron porosity) to remove any fine particles and then washed on a filter bed according to the sequence: deionised water (5 × 100 ml), ethanol (3 × 100 ml), hexane (3 × 100 ml), and then finally dried in a vacuum oven at 50°C.

Analytical Results:

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ICP analysis: 4.3% Pd wt/wt, Loading: 0.4 mmol/g (60% Pd encapsulated)

Particle Size Distribution: 60-340 μm (average: 180 μm)

<u>Preparation of Comparative Catalyst Example 2 - Microencapsulated Palladium Acetate</u> with 30% Wall Content

An organic phase was produced by dissolving Pd(OAc)₂ (2.16g, 98%) in chloroform (32g, 99.9%) followed by stirring for 30 minutes. To this mixture was added polymethylene polyphenylene di-isocyanate (PMPPI) (14g) and the contents was stirred for a further 60 minutes. The mixture was then added to an aqueous mixture containing 40% REAX 100 M^a solution (3.85g), 20% TERGITOL XD^b solution (0.96g) and 25% Poly Vinyl Alcohol (PVOH) solution (1.93 g) in deionised water (96 ml) while shearing (using a FISHER 4-blade retreat-curve stirrer) at 500 rpm for 8 minutes. After eight minutes the shear rate was reduced to 250 rpm and at the onset of polymerisation (as detected by carbon dioxide evolution) 3 drops of de-foamer (Drewplus S-4382, Ashland) were added and the suspension thus obtained was stirred at room temperature for a further 24 hours. The microcapsules obtained were filtered though a polyethylene frit (20 micron porosity) and then washed on a filter bed according to the sequence: deionised water (5 × 100 ml), ethanol (3 × 100 ml), hexane (3 × 100 ml); and finally dried in a vacuum oven at 50°C.

Analytical Results:

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ICP analysis: 4.1% Pd wt/wt, Loading: 0.38 mmol/g (63% Pd encapsulated)

Particle Size Distribution: 60-360 µm (average: 200 µm)

- ^a Highly sulfonated modified hybrid kraft lignin available from Meadwestvaco
- ^b -High molecular weight ethylene oxide/propylene oxide nonionic surfactant supplied by The Dow Chemical Company

Preparation of Comparative Catalyst Example 3 - Microencapsulated of Microencapsulated Palladium Acetate with 20% Wall Content

An organic phase was produced by dissolving Pd(OAc)₂ (2.16g, 98%) in chloroform (58g, 99.9%) followed by stirring for 30 minutes. To this mixture was added polymethylene polyphenylene di-isocyanate (PMPPI) (14g) and the contents was stirred for a further 60 minutes. The mixture was then added to an aqueous mixture containing 40% REAX 100 M solution (3.85g), 20% TERGITOL XD solution (0.96g) and 25% Poly Vinyl Alcohol (PVOH) solution (1.93 g) in deionised water (96 ml) while shearing (using a FISHER 4-blade retreat-curve stirrer) at 500 rpm for 8 minutes. After eight minutes the shear rate was reduced to 250 rpm and at the onset of polymerisation (as detected by carbon dioxide evolution) 3 drops of de-foamer (Drewplus S-4382, Ashland) were added and the suspension thus obtained was stirred at room temperature for a further 24 hours. The microcapsules obtained were filtered though a polyethylene frit (20 micron porosity) and then washed on a filter bed according to the sequence: deionised water (5 × 100 ml), ethanol (3 × 100 ml), hexane (3 × 100 ml), and finally dried in a vacuum oven at 50°C.

Analytical Results:

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ICP analysis: 4.2% Pd wt/wt, Loading: 0.39 mmol/g (63% Pd encapsulated)

Particle Size Distribution: 60-395 µm (average: 211 µm)

<u>Preparation of Comparative Catalyst Example 4 - Microencapsulation of Palladium Acetate In a Polyurea Matrix with Reduced Crosslink Density</u>

Pd(OAc)₂ (2.95g, 98%) was dissolved in chloroform (26.4g, 99.9%) and the solution stirred for 30 minutes. To this mixture was added polymethylene polyphenylene di-isocyanate (PMPPI) (9.55g) and methylene bis(phenyl isocyanate) (MDI) (9.55g) and the contents stirred for a further 60 minutes. The mixture was then added to an aqueous mixture containing 40% REAX 100 M solution (3.88g), 20% TERGITOL XD solution (0.97g) and 25% Poly Vinyl Alcohol (PVOH) solution (1.94g) in deionised water (97 ml) while shearing (using a FISHER 4-blade retreat curve stirrer) at 500 rpm for 8 minutes. After eight minutes the shear rate was reduced to 250 rpm and at the onset of polymerisation (as detected by carbon dioxide evolution) 3 drops of de-foamer (Drewplus

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S-4382, Ashland) were added and the suspension thus obtained stirred at room The microcapsules obtained were filtered though a temperature for 24 hours. polyethylene frit (20 micron porosity) to remove any fine material and then washed according to the sequence: deionised water (5 x 100 ml), ethanol (3 x 100 ml), hexane (3 × 100 ml), and finally dried in a vacuum oven at 50°C.

Analytical Results:

ICP analysis: 4.7% Pd wt/wt, Loading: 0.44 mmol/g (77% Pd encapsulated)

Particle Size Distribution: 60-370 μm (average: 198 μm)

Preparation of Comparative Catalyst Example 5 - Microencapsulation of Palladium Acetate In a Polyurea Matrix with Reduced Crosslink Density

Pd(OAc), (2.95g, 98%) was dissolved in chloroform (26.4g, 99.9%) and the solution stirred for 30 minutes. To this mixture was added polymethylene polyphenylene di-isocyanate (PMPPI) (9.55g) and tolylene-2,4-diisocyanate (TDI) (9.55g) and the contents stirred for a further 60 minutes. The mixture was then added to an aqueous mixture containing 40% REAX 100 M solution (3.88g), 20% TERGITOL XD solution (0.97g) and 25% Poly Vinyl Alcohol (PVOH) solution (1.94g) in deionised water (97 ml) while shearing (using a FISHER 4-blade retreat curve stirrer) at 500 rpm for 8 minutes. After eight minutes the shear rate was reduced to 250 rpm and at the onset of polymerisation (as detected by carbon dioxide evolution) 3 drops of de-foamer (Drewplus S-4382. Ashland) were added and the suspension thus obtained stirred at room The microcapsules obtained were filtered though a temperature for 24 hours. polyethylene frit (20 micron porosity) to remove any fine material and then washed according to the sequence: deionised water (5 × 100 ml), ethanol (3 × 100 ml), hexane (3 × 100 ml), and finally dried in a vacuum oven at 50°C.

Analytical Results:

ICP analysis: 3.5% Pd wt/wt, Loading: 0.33 mmol/g (55% Pd encapsulated)

Particle Size Distribution: 40-250µm (average: 124 µm)

Preparation of Comparative Catalyst Example 6 - Microencapsulation of Palladium Acetate In a Polyurea Matrix with Reduced Crosslink Density

Pd(OAc)₂ (2.95g, 98%) was dissolved in chloroform (26.4g, 99.9%) and the solution stirred for 30 minutes. To this mixture was added polymethylene polyphenylene di-isocyanate (PMPPI) (9.55g) and 4,4-methylene bis (cyclohexyl isocyanate) (9.55g) and the contents stirred for a further 60 minutes. The mixture was then added to an aqueous

mixture containing 40% REAX 100 M solution (3.88g), 20% TERGITOL XD solution (0.97g) and 25% Poly Vinyl Alcohol (PVOH) solution (1.94g) in deionised water (97 ml) while shearing (using a FISHER 4-blade retreat curve stirrer) at 500 rpm for 8 minutes. After eight minutes the shear rate was reduced to 250 rpm and at the onset of polymerisation (as detected by carbon dioxide evolution) 3 drops of de-foamer (Drewplus S-4382, Ashland) were added and the suspension thus obtained stirred at room temperature for 24 hours. The microcapsules obtained were filtered though a polyethylene frit (20 micron porosity) to remove any fine material and then washed according to the sequence: deionised water (5 × 100 ml), ethanol (3 × 100 ml), hexane (3 × 100 ml), and finally dried in a vacuum oven at 50°C.

Analytical Results:

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ICP analysis: 4.9% Pd wt/wt, Loading: 0.46 mmol/g (80% Pd encapsulated)

Particle Size Distribution: 60-400 μm (average: 175 μm)

Preparation of Catalyst Examples 7 and 9 - Microencapsulated Pd(OAc), with Co-Encapsulated PAr₃

Due to air-sensitive nature of ligands, the organic phase was prepared in a glove box under a nitrogen atmosphere. The organic phase was formed from Pd(OAc), (2:95g, 98 %) dissolved in chloroform (25.7g) and then stirred for 10 minutes followed by addition of either triphenylphosphine (1.72g 99%, 1:0.5 Pd/P molar ratio) (Example 7) or tri-o-tolyl phosphine (2 g 97%, 1:0.5 Pd/P molar ratio) (Example 9) and then stirred for a further 30 minutes. To this mixture, polymethylene polyphenylene di-isocyanate (PMPPI) (19.11g) was added and the contents stirred for a further 60 minutes. This organic phase mixture was then added to an aqueous phase containing 40% REAX 100 M solution (3.95g), 20% TERGITOL XD solution (1g) and 25% Poly Vinyl Alcohol (PVOH) solution (1.98g) in deionised water (83 ml) while shearing (using a FISHER 4-blade retreat-curve stirrer) at 500 rpm for 8 minutes. The reaction was maintained under inert atmosphere (N2) throughout. After 8 minutes the shear rate was reduced to 250 rpm and few drops of defoamer (DrewPLus S-4382) were added during the onset of polymerisation (detected by carbon dioxide evolution). The suspension thus obtained was stirred at room temperature for a further 24 hours. The microcapsules were then filtered though a polyethylene frit (20 micron porosity) and the capsules washed on a filter bed according to the following sequence: deionised water (5 \times 100 ml), ethanol (3 \times 100 ml), hexane (3 \times 100 ml), and finally dried in a vacuum oven at 50°C.

Analytical Results:

Example 7:

ICP Analysis: 5.2% Pd wt/wt, Loading: 0.5 mmol/g (82% Pd encapsulated)

0.75% P wt/wt, Loading: 0.24 mmol/g (90% P encapsulated)

Particle size Distribution: 60-420µm (average: 256 µm)

Example 9:

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ICP Analysis: 5.1% Pd wt/wt, Loading: 0.48 mmol/g (81% Pd encapsulated)

0.75% P wt/wt, Loading: 0.24 mmol/g (89% P encapsulated)

Particle size Distribution: 60-460μm (average: 311 μm)

Preparation of Catalyst Examples 8 - Microencapsulated Pd(OAc), with Co-Encapsulated PPh

Due to air-sensitive nature of ligands, the organic phase was prepared in a glove box under a nitrogen atmosphere. The organic phase was formed from Pd(OAc)₂ (2.95g, 98 %) dissolved in chloroform (25.7g) and then stirred for 10 minutes followed by addition of triphenylphosphine (0.35g, 98%, 1:0.1 Pd:P) and then stirred for a further 30 minutes. To this mixture was added polymethylene polyphenylene di-isocyanate (PMPPI) (19.11g) and the contents stirred for a further 60 minutes. This organic phase mixture was then added to an aqueous phase containing 40% REAX 100 M solution (3.95g), 20% TERGITOL XD solution (1g) and 25% Poly Vinyl Alcohol (PVOH) solution (1.98g) in deionised water (83 ml) while shearing (using a FISHER 4-blade retreat-curve stirrer) at 500 rpm for 8 minutes. The reaction was maintained under inert atmosphere (N2) throughout. After 8 minutes the shear rate was reduced to 250 rpm and few drops of defoamer (DrewPLus S-4382) were added during the onset of polymerisation (detected by carbon dioxide evolution). The suspension thus obtained was stirred at room temperature for a further 24 hours. The microcapsules were then filtered though a polyethylene frit (20 micron porosity) and the capsules washed on a filter bed according to the following sequence: deionised water (5 \times 100 ml), ethanol (3 \times 100 ml), hexane (3 \times 100 ml), and finally dried in a vacuum oven at 50°C.

Analytical Results:

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ICP Analysis: 4.9% Pd wt/wt, Loading: 0.46 mmol/g (81% Pd encapsulated)
0.16% P wt/wt, Loading: 0.05 mmol/g (94% P encapsulated)

Particle size Distribution: 60-390µm (average: 236 µm)

Preparation of Catalyst Example 10 - Microencapsulated Pd(OAc), with Co-Encapsulated 1,4-bis(diphenylphosphino)butane

Due to air-sensitive nature of ligand the organic phase was prepared in a glove box under a nitrogen atmosphere. The organic phase was formed from Pd(OAc)₂ (2.95g, 98%) dissolved in chloroform (25.7g) and then stirred for 10 minutes followed by addition of 1,4-bis(diphenylphosphino)butane (2.25g, 98%, 1:1 Pd:P) and then stirred for a further 30 minutes. To this mixture was added polymethylene polyphenylene di-isocyanate (PMPPI) (19.11g) and the contents stirred for a further 60 minutes. This organic phase mixture was then added to an aqueous phase containing 40% REAX 100 M solution (3.95g), 20% TERGITOL XD solution (1g) and 25% Poly Vinyl Alcohol (PVOH) solution (1.98 g) in deionised water (83 ml) while shearing (using a FISHER 4-blade retreat-curve stirrer) at 500 rpm for 8 minutes. The reaction was maintained under inert atmosphere (N₂) throughout. After 8 minutes the shear rate was reduced to 250 rpm and few drops of de-foamer (DrewPLus S-4382) were added during the onset of polymerisation (detected by carbon dioxide evolution). The suspension thus obtained was stirred at room temperature for a further 24 hours. The microcapsules were then filtered though a polyethylene frit (20 micron porosity) and the capsules washed on a filter bed according to the following sequence: deionised water (5 \times 100 ml), ethanol (3 \times 100 ml), hexane (3 \times 100 ml), and finally dried in a vacuum oven at 50°C.

Analytical Results:

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ICP Analysis: 5.4% Pd wt/wt, Loading: 0.51 mmol/g (82% Pd encapsulated) 1.5% P wt/wt, Loading: 0.48 mmol/g (89% P encapsulated)

Particle size Distribution: 60-495μm (average: 365 μm)

Preparation of Catalyst Example 11 Microencapsulated Pd(OAc), with Co-Encapsulated PPh₃

Due to air-sensitive nature of the ligand, the oil phase was prepared in a glove box. Pd(OAc)₂ (3.34g ,98 %) was dissolved in chloroform (46.82 g) and the solution stirred for 10 minutes. Triphenylphosphine (3.92g, 99%, 1:1 molar ratio Pd/PPh₃) was then added and the solution stirred for a further 30 minutes. To this mixture, polymethylene polyphenylene di-isocyanate (PMPPI) (17.59 g) was added and the contents stirred for a further 60 minutes. The mixture was then added to a cooled (4°C) aqueous mixture under inert atmosphere (N₂) containing 40% REAX 100 M solution (5.73 g), 20% TERGITOL XD solution (1.43 g) and 25% Poly Vinyl Alcohol (PVOH) solution (2.87 g) in deionised water (120 ml) while shearing (using a FISHER 4-blade retrieve-curve stirrer) at 500 rpm for 8 minutes. The shear rate was then reduced to 250 rpm and after being maintained at 4°C for 90 minutes, the temperature of the batch was gradually allowed to warm to room

temperature. At the onset of polymerisation (12°C) a few drops of de-foamer (DrewPLus S-4382) were added. The suspension thus obtained was stirred at room temperature for 24 hours. The microcapsules were then filtered though a polyethylene frit (20 micron porosity) and the capsules washed on a filter bed according to the sequence: deionised water (5 \times 100 ml), ethanol (3 \times 100 ml), hexane (3 \times 100 ml), and dried in a vacuum oven at 50°C.

Analytical Results:

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ICP Analysis: 6.4% Pd wt/wt, Loading: 0.6 mmol/g (97% Pd encapsulated)

1.9% P wt/wt, Loading: 0.6 mmol/g (98% P encapsulated)

Particle size Distribution: 60-300μm (average: 133 μm)

Preparation of Catalyst Examples 12 - 17 Microencapsulated Pd(OAc), Catalysts with Post Adsorbed PPh₃

In a 25ml round-bottom flask a sample of encapsulated palladium acetate prepared from Comparative Examples 1-6 (1g, 0.4mmol/g Pd) was added to 10ml THF and the mixture stirred for 30 minutes under inert (N₂) atmosphere. Triphenylphosphine ligand (7mg, 1:0.5 Pd:P molar ratio) was then added and the mixture allowed to stir overnight at room temperature. The beads were then filtered and washed with THF (5ml x 3) before being dried in a vacuum oven.

Analytical Results:

ICP results on Examples 12 to 17:

Example	Starting Catalyst Example	% Wall Content and Components	Pd level mmol/g (initial)	PPh ₃ level mmol/g (maximum theoretical)
12	1	40% (PMPPI)	0.32 (0.4)	0.05 (0.2)
13 ,	2	30% (PMPPI)	0.34 (0.38)	0.05 (0,2)
14	·3	20% (PMPPI)	0.32 (0.39)	0.11 (0.2)
15:	4	40% (PMPPI/MDI 1/1)	0.42 (0.44)	0.13 (0.2)
16	5	40% (PMPPI/TDI 1/1)	0.32 (0.33)	0.01 (0.2)
17. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	6	40% (PMPPI/Des W)	0.4 (0.46)	0.11 (0.2)

Preparation of Catalyst Example 18 - Microencapsulated Triphenyl Phosphine with Post adsorbed Pd(OAc)₂

Triphenylphosphine (2.70g, 99%) was dissolved in chloroform (32.7g, Aldrich 99%) and the solution stirred for a 10 minutes. To this mixture, polymethylene polyphenylene disocyanate (PMPPI) (19.11g) was added and the contents stirred for a further 60 minutes. The mixture was then added to an aqueous mixture containing 40% REAX 100 M solution (4.36g), 20% TERGOTIL XD solution (1.09g) and 25% Poly Vinyl Alcohol (PVOH) solution (2.18 g) in deionised water (91 ml) while shearing (using a FISHER 4-blade retrieve-curve stirrer) at 500 rpm for 8 minutes. The reaction was maintained under inert atmosphere (N₂) throughout. The shear rate was then reduced to 250 rpm and few drops of de-foamer (DrewPLus S-4382) added during onset polymerisation. The dispersion thus obtained was stirred at room temperature for 24 hours. The microcapsules were then filtered (under N₂ blanket) though a polyethylene frit (20 micron porosity) and the capsules washed on the filter bed according to the sequence: deionised water (5 × 100 ml), ethanol (3 × 100 ml), hexane (3 × 100 ml), and finally dried in a vacuum oven at 50°C.

Analytical Results:

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ICP Analysis: 1.8% P wt/wt, Loading: 0.58 mmol/g (95% PPh₃ encapsulated) Particle size Distribution: 60-320µm (average: 180 µm)

Adsorption of Palladium acetate in to the Encapsulated PPha:

 $Pd(OAc)_2$ (one molar equivalent on PPh_3) was dissolved in THF (10 ml) and the mixture stirred via magnetic stirrer for 10 minutes. To this solution the encapsulated PPh_3 beads prepared above were added the mixture allowed to stir at room temperature overnight. The beads were then filtered and washed successively with THF (10 x 10ml) before being dried in a vacuum oven at 30°C for 3 hours.

Analytical Results:

ICP Analysis: 1.3% Pd wt/wt, Loading = 0.12 mmol/g (equates to approx 50% of Pd adsorbed into the beads) and 0.79% P wt/wt, Loading: 0.25 mmol/g PPh₃

In conclusion ICP analysis indicated that 50% of the Pd(OAc)₂ was successfully loaded on to the PPh₃ beads, however approximately 50% of the initial PPh₃ was lost during this adsorption process.

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Catalyst Evaluations

General Procedure for Suzuki type reactions using Encapsulated Palladium Acetate

A 25 ml three-necked round-bottom flask equipped with a condenser was charged with 4-methoxyphenylboronic acid (0.26g, 1.72 mmol, 1.5 eq), 4-bromofluorobenzene (0.20g, 1.14 mmol, 1 eq), potassium carbonate (0.47g, 3.42 mmol, 3 eq) and 10 ml of IPA/H₂O (20:1). To this, microencapsulated palladium acetate prepared in Comparative Example 1 (0.08g, 3 mol%, Pd loading 0.4 mmol/g) was added. The mixture was stirred with a magnetic follower and heated to 80°C using an oil bath. The progress of the reaction was monitored by taking samples of reaction mixture at regular time intervals and analysing by HPLC. The mixture was then filtered through a sintered funnel and the solid catalystwashed with acetone and ether respectively. The filtrate was concentrated on a rotary evaporator without further work up. ICP Analysis:revealed the reaction mixture to have <3 ppm Pd which equates to less than 0.1% of the palladium leached from the catalyst and the crude product to contain <20 ppm palladium.

The table below shows the level of conversion to product at timed intervals using a quantitative HPLC method. For the catalyst Example 1 the table reveals an initial rapid reaction, which progressively slows down over the course of reaction achieving 70% product after 5 hours. ICP analysis showed Pd levels in reaction mixture and crude product to be <3 ppm and <20 ppm respectively.

Time (minutes)	Conversion (%)
0	0
5 .	12.5
10	29.6
15	33.3
20	35.6
25	38.1

30	40.8
35	41.2
40 ·	41.8
45	44.6
95	57.7
155	63.4
⁻ 255	68.6
. 285	71.1

Following the same experimental procedure reaction profiles for Comparative Catalyst Examples 2 to 6 were produced.

The table below shows the conversion/time profiles for catalyst Examples 1, 2 and 3 with wall contents 40, 30 and 20% respectively. The catalytic activity is significantly increased for both the 30% and 20% Pd EnCat™ against the standard 40% wall catalyst (Example 1).

	Yield (%)			
Time (hours)	Catalyst Example 1	Catalyst Example 2	Catalyst Example 3	
. 0	0	0	0	
1 ,	24.89	56.47	34.27	
3	42.52	82	60.86	
5	53.1	86.21	74.74	
77	59.8	90.01	88.73	
20	70.04	95.4	88.91	

ICP Analysis on crude reaction products from catalyst Examples 1, 2 and 3 showed <20ppm, <20 ppm and <15 ppm Pd respectively.

The table below shows the conversion/time profiles for catalyst Examples 1 and 4 where Catalyst 4 has a polyurea wall with reduced crosslink density. As can be seen the catalytic activity is significantly increased for catalyst Example 4 compared the standard 40% wall catalyst Example 1).

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ICP analysis of the crude reaction mixtures for catalysts Examples 1, 7 and 9 showed palladium levels at <3ppm, <5ppm and <5ppm, respectively.

The same procedure was followed to produce conversion/time data for catalyst Example 8 where the level of PPh₃ has been reduced to 0.1/1 PPh₃/Pd molar equivalents:

	% conversion to product		
Time	Catalyst	Catalyst	
(hours)	Example 1	Example 8	
. 0	. 0	0	
1	24.89	49.34	
3 -	42.52	90.16	
5	53.1	94.26	
7	59.8	98.36	
20	70.04	98.67	

This catalyst still gives enhanced catalytic activity over comparative Example 1. ICP analysis of crude product showed Pd and P levels to be <30 ppm and <18 ppm, respectively.

The same procedure was followed to produce conversion/time profile for catalyst Example 10 where bis(diphenylphosphino)butane was co-encapsulated. Comparison of reaction profile for comparative Example 1 and Example 10 clearly illustrated the dramatic improvement in activity of catalyst Example 10 with quantitative yield within 3 to 5 hours. For catalyst Example 10 ICP analysis of crude product showed Pd and P levels to be 19 ppm and 50 ppm, respectively.

Time	% conversion to product			
(hours)	Catalyst	Catalyst		
	Example 1	Example 10		
0	0	0		
1	24.89	86.61		
3	42.52	96.17		
5	3 53.1	98.05		
.7.	59.8	98.59		
20	70.04	99.2		

Experiment to Assess the Influence of Storage Conditions and Ageing on Catalyst Activity

Due to presence of oxidatively labile phosphine ligands the following experiment was carried out to determine the storage stability of the co-encapsulated catalysts of type Examples 7 to 10.

A sample of catalyst Example 7 was stored under air for 3 months and then its catalytic activity compared with 'fresh' catalyst in a standard Suzuki coupling reaction. Similarly, a sample of the catalyst was subjected to accelerated ageing by warming to 52 °C in an oven for 24 hours and its catalytic activity determined. Activity was assessed by monitoring conversion/time profiles for these aged catalysts in a Suzuki reaction according to the method above. The reaction results are presented in the table below:

		Yield %		
	. :		Catalyst	Catalyst
·		Catalyst	Example 7	Example 7
	,	Example 7	(After 3	(After
Time	Catalyst	(freshly	months	accelerated
(hours)	Example 1	prepared)	storage)	ageing)
0	0 .	0 **.	0	0 😤
1	24.89	49.98	41.29	26.47
3	42.52	82.16	67.54	61.76
5	53.1	98.08	73	71.42
7	59.8	98.14	75.84	79.46
20	70.04	98.42	83.75	87.5

Catalyst Example 7 shows some loss of activity after storing in air for a 3 month period, however, the catalyst still remains more active then the comparative catalyst Example 1. Similarly accelerated ageing of Catalyst 7 at 52°C for 24 hours results in a similar partial loss in activity.

ICP Analysis of crude products for the room temperature and 52°C aged catalyst show Pd and P levels to be <20 ppm and <30 ppm, respectively.

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CLAIMS

- 1. A microencapsulated catalyst-ligand system comprising a catalyst and a ligand microencapsulated within a permeable polymer microcapsule shell.
- 2. A microencapsulated catalyst-ligand system comprising a catalyst and a ligand microencapsulated within a permeable polymer microcapsule shell wherein the microcapsule shell is formed by interfacial polymerisation.
- 3. A microencapsulated catalyst-ligand system obtainable by a process comprising forming a permeable microcapsule shell by interfacial polymerisation in the presence of a catalyst and a ligand.
 - 4. A microencapsulated catalyst-ligand system according to any one of Claims 1 to 3 wherein the permeable polymer microcapsule shell is the product of self-condensation and/or cross-linking of etherified urea-formaldehyde resins or prepolymers in which from about 50 to about 98% of the methylol groups have been etherified with a C₄-C₁₀ alcohol.
 - 5. A microencapsulated catalyst-ligand system according to any one of Claims 1 to 3 wherein the permeable polymer microcapsule shell is a polyurea microcapsule prepared from at least one polyisocyanate and/or tolylene diisocyanate.
 - A microencapsulated catalyst-ligand system according to Claim 5 wherein the 6. polyisocyanates and/or tolylene diisocyanates are selected from the group consisting of 1chloro-2,4-phenylene diisocyante, m-phenylene diisocyante (and its hydrogenated p-phenylene diisocyante (and its hydrogenated methylenebis(phenyl isocyanate), 2,4-tolylene diisocyanate, tolylene diisocyanate (60% 2,4-isomer, 40% 2,6-isomer), 2,6-tolylene diisocyante, 3,3'-dimethyl-4,4'-biphenylene 3.3'-dimethoxy-4.4'isocyanate), diisocyante, 4,4'-methylenebis (2-methylphenyl biphenylene diisocyanate, 2,2',5,5'-tetramethyl-4,4'-biphenylene diisocyanate, 80% 2,4and 20% 2,6-isomer of tolylene diisocyanate, polymethylene polyphenylisocyante (PMPPI), 1,6-hexamethylene diisocyanate, isophorone diisocyanate, tetramethylxylene diisocvanate and 1,5-naphthylene diisocyanate.
 - 7. A microencapsulated catalyst-ligand system according to any one of Claims 1 to 6 wherein the catalyst is an inorganic catalyst, preferably a transition metal catalyst.
 - 8. A microencapsulated catalyst-ligand system according to Claim 7 wherein the catalyst is a transition metal catalyst wherein the transition metal is platinum, palladium,

osmium, ruthenium, rhodium, iridium, rhenium, scandium, cerium, samarium, yttrium, ytterbium, lutetium, cobalt, titanium, chromium, copper, iron, nickel, manganese, tin, mercury, silver, gold, zinc, vanadium, tungsten and molybdenum.

- 9. A microencapsulated catalyst-ligand system according to Claim 8 wherein the catalyst is a transition metal catalyst wherein the transition metal is palladium, preferably the palladium is in the form of an organic solvent soluble form and most preferably is palladium acetate.
- 10. A microencapsulated catalyst-ligand system according to any one of Claims 1 to 9 wherein the ligand is an organic moiety comprising one or more hetroatoms selected from N, O, P and S.
 - 11. A microencapsulated catalyst-ligand system according to Claim 10 wherein the ligand is an organic ligand of formula (1):

PR¹R²R³

(1)

wherein:

R¹, R² and R³ are each independently an optionally substituted hydrocarbyl group, an optionally substituted hydrocarbyloxy group, or an optionally substituted hetrocyclyl group or one or more of R¹ & R², R¹ & R³, R² & R³ optionally being linked in such a way as to form an optionally substituted ring(s).

25 A microencapsulated catalyst-ligand system according to Claim 11 wherein the ligand is PMe₂CF₃, P(OEt)₃, P(Et)₃, P(Bu)₃, P(cyclohexyl)₃, PPhEt₂, PPh₂Me, PPh₃, P(CH₂Ph)₃, $P(p-tolyl)_3$ P(CH₂Ph)Ph₂; $P(o-C_6H_4OMe)_3$, P(OPh)₃, $P(O-p-tolyl)_3$ $P(p-C_6H_4OMe)_3$, $P(o-tolyl)_3$, $P(m-tolyl)_3$, PMe_3 , $PPhMe_2$, PPh_2Et , $P(i-Pr)_3$, $P(t-Bu)_3$, PPhCH₂Ph, PPh₂OEt, PPh(OEt)₂, P(O-o-tolyl)₃, P(OMe)₃, P(n-Pr)₃, PPh(i-Pr)₂, PPh₂(i-Pr), PPhBu₂, PPh₂Bu, 30 $P(i-Bu)_3$ PPh(cyclohexyl)₂, PPh₂(cyclohexyl), P(CH₂Ph)₂Et, P(CH₂Ph)Et₂, $P(C_6F_5)Ph_2$, $P(p-C_6H_4F)_3$, $P(p-C_6H_4CI)_3$, $P(C_6F_5)_2Ph$ $P(o-C_6H_4Cl)_3$, $P(2-furanyl)_3$, $P(2-thienyl)_3$, $P(n-octyl)_3$, $P(p-C_6H_4NO_2)_3$, and

where Cy = cyclohexyl.

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- 13. A process for the preparation of a microencapsulated catalyst-ligand system which comprises forming a microcapsule shell by interfacial polymerisation in the presence of a catalyst and a ligand.
- 14. A process for the preparation of a microencapsulated catalyst-ligand system which comprises
 - (a) dissolving or dispersing the catalyst and ligand in a first phase,
 - (b) dispersing the first phase in a second, continuous phase to form an emulsion,
 - (c) reacting one or more microcapsule wall-forming materials at the interface between the dispersed first phase and the continuous second phase to form a microcapsule polymer shell encapsulating the dispersed first phase core and optionally
 - (d) recovering the microcapsules from the continuous phase

- 15. A microencapsulated catalyst-ligand system obtainable by a process comprising forming a microcapsule shell by interfacial polymerisation in the presence of a catalyst and treating the microcapsule shell with a ligand.
- 16. A process for the preparation of a microencapsulated catalyst-ligand system which comprises
 - (a) dissolving or dispersing the catalyst in a first phase,
 - (b) dispersing the first phase in a second, continuous phase to form an emulsion,
 - (c) reacting one or more microcapsule wall-forming materials at the interface between the dispersed first phase and the continuous second phase to form a microcapsule polymer shell encapsulating the dispersed first phase core; and
 - (d) treating the microcapsules with a ligand.
- 17. A microencapsulated catalyst-ligand system obtainable by a process comprising forming a microcapsule shell by interfacial polymerisation in the presence of a ligand and treating the microcapsule shell with a catalyst solution.
- 18. A process for the preparation of a microencapsulated catalyst-ligand system which comprises
 - (a) dissolving or dispersing the ligand in a first phase,
 - (b) dispersing the first phase in a second, continuous phase to form an emulsion,
 - (c) reacting one or more microcapsule wall-forming materials at the interface between the dispersed first phase and the continuous second phase to form a microcapsule polymer shell encapsulating the dispersed first phase core; and
 - (d) treating the microcapsules with a solution of a catalyst.
 - 19. A process according to any one of Claims 13, 15 or 17 wherein the interfacial polymerisation comprises self-condensation and/or cross-linking of etherified urea-formaldehyde resins or prepolymers in which from about 50 to about 98% of the methylol groups have been etherified with a C_4 - C_{10} alcohol
 - 20. A process according to any one of Claims 13, 15 or 17 wherein the interfacial polymerisation comprises condensation of at least one polyisocyanate and/or tolylene diisocyanate.

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- 21. A process according to Claim 20 wherein the polyisocyanates and/or tolylene diisocyanates are selected from the group consisting of 1-chloro-2,4-phenylene diisocyante, m-phenylene diisocyante (and its hydrogenated derivative), p-phenylene diisocyanate (and its hydrogenated derivative), 4,4'-methylenebis(phenyl isocyanate), 2,4-tolylene diisocyanate, tolylene diisocyanate (60% 2,4-isomer, 40% 2,6-isomer), 2,6-tolylene diisocyanate, 3,3'-dimethyl-4,4'-biphenylene diisocyanate, 4,4'-methylenebis (2-methylphenyl isocyanate), 3,3'-dimethoxy-4,4'-biphenylene diisocyanate, 2,2',5,5'-tetramethyl-4,4'-biphenylene diisocyanate, 80% 2,4- and 20% 2,6-isomer of tolylene diisocyanate, polymethylene polyphenylisocyanate (PMPPI), 1,6-hexamethylene diisocyanate, isophorone diisocyanate, tetramethylxylene diisocyanate and 1,5-naphthylene diisocyanate.
- 22. A process according to Claim 20 or Claim 21 wherein a crosslinking reagent is present.
- 23. A process according to any one of Claims 19 to 22 wherein unreacted amine groups are converted to urea, amide or urethane groups by post reaction with a monoisocyanate, acid chloride or chloroformate.
- 24. A process according to any one of Claims 14, 16 or 18 wherein the wall-forming materials comprise etherified urea-formaldehyde resins or prepolymers in which from about 50 to about 98% of the methylol groups have been etherified with a C₄-C₁₀ alcohol.
 - 25. A process according to any one of Claims 14, 16 or 18 wherein the wall-forming materials comprise at least one polyisocyanate and/or tolylene diisocyanate.
 - A process according to Claim 25 wherein the polyisocyanates and/or tolylene 26. diisocyanates are selected from the group consisting of 1-chloro-2,4-phenylene diisocyante, m-phenylene diisocyante (and its hydrogenated derivative), p-phenylene diisocyante (and its hydrogenated derivative), 4,4'-methylenebis(phenyl isocyanate), 2,4tolylene diisocyanate, tolylene diisocyanate (60% 2,4-isomer, 40% 2,6-isomer), 2,6tolylene diisocyante, 3,3'-dimethyl-4,4'-biphenylene diisocyante, 4,4'-methylenebis (2isocyanate), 3,3'-dimethoxy-4,4'-biphenylene diisocyanate, methylphenyl tetramethyl-4,4'-biphenylene diisocyanate, 80% 2,4- and 20% 2,6-isomer of tolylene (PMPPI), 1,6-hexamethylene polyphenylisocyante polymethylene diisocyanate, isophorone diisocyanate, tetramethylxylene diisocyanate and naphthylene diisocyanate.

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- 27. A process according to Claim 25 or Claim 26 wherein the wall-forming materials comprise a crosslinking reagent.
- 28. A process according to any one of Claims 13 to 27 wherein the catalyst is an inorganic catalyst, preferably a transition metal catalyst.
- 29. A process according to Claim 28 wherein the catalyst is a transition metal catalyst wherein the transition metal is platinum, palladium, osmium, ruthenium, rhodium, iridium, rhenium, scandium, cerium, samarium, yttrium, ytterbium, lutetium, cobalt, titanium, chromium, copper, iron, nickel, manganese, tin, mercury, silver, gold, zinc, vanadium, tungsten and molybdenum.
- 30. A process according to Claim 29 wherein the catalyst is a transition metal catalyst wherein the transition metal is palladium, preferably the palladium is in the form of an organic solvent soluble form and most preferably is palladium acetate.
- 31. A process according to any one of Claims 13 to 30 wherein the ligand is an organic moiety comprising one or more hetroatoms selected from N, O, P and S.
- 32. A process according to Claim 31 wherein the ligand is an organic ligand of formula(1):

PR1R2R3

(1)

wherein:

R¹, R² and R³ are each independently an optionally substituted hydrocarbyl group, an optionally substituted hydrocarbyloxy group, or an optionally substituted hetrocyclyl group or one or more of R¹ & R², R¹ & R³, R² & R³ optionally being linked in such a way as to form an optionally substituted ring(s).

33. A process according to Claim 22 wherein the ligand is PMe_2CF_3 , $P(OEt)_3$, $P(Et)_3$, $P(Bu)_3$, $P(cyclohexyl)_3$, PPh_2Me_4 , PPh_3 , $P(CH_2Ph)_3$, $P(CH_2Ph)Ph_2$, $P(p-tolyl)_3$, $P(o-tolyl)_3$, P(o-tolyl)

where Cy = cyclohexyl.

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